

**APPLICATION FOR EXEMPTION FROM AUDIT  
LONG FORM**

**NAME OF GOVERNMENT  
ADDRESS**

Gateway-Unwired Fire Protection District
PO Box 126
42700 Highway 141
Gateway, CO 81522

**For the Year Ended  
12/31/2019  
or fiscal year ended:**

**CONTACT PERSON  
PHONE  
EMAIL  
FAX**

N/A

**CERTIFICATION OF PREPARER**

I certify that I am an independent accountant with knowledge of governmental accounting and that the information in the Application is complete and accurate to the best of my knowledge. I am aware that the Audit Law requires that a person independent of the entity complete the application if revenues or expenditure are at least \$100,000 but not more than \$750,000, and that independent means someone who is separate from the entity.

**NAME:  
TITLE  
FIRM NAME (if applicable)  
ADDRESS  
PHONE  
DATE PREPARED  
RELATIONSHIP TO ENTITY**

Robert Ellis, CPA
Principal
Ellis CPA Firm, PC
605 25 Rd Suite 200
970-241-5040
9/8/2020
Independent Certified Public Accountant

**PREPARER (SIGNATURE REQUIRED)**

 Robert Ellis

Has the entity filed for, or has the district filed, a Title 32, Article 1 Special District Notice of Inactive Status during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]

YES	NO	If Yes, date filed:
<input type="checkbox"/>	<input checked="" type="checkbox"/>	

PART 1 - FINANCIAL STATEMENTS - BALANCE SHEET

\* Indicate Name of Fund

NOTE: Attach additional sheets as necessary.

Line #	Description	Governmental Funds		Description	Proprietary/Fiduciary Funds	
		Fund*	Fund*		Fund*	Fund*
<b>Assets</b>				<b>Assets</b>		
1-1	Cash & Cash Equivalents	\$ 177,585	\$ -	Cash & Cash Equivalents	\$ -	\$ -
1-2	Investments	\$ -	\$ -	Investments	\$ -	\$ -
1-3	Receivables	\$ -	\$ -	Receivables	\$ -	\$ -
1-4	Due from Other Entities or Funds	\$ -	\$ -	Due from Other Entities or Funds	\$ -	\$ -
	All Other Assets (specify...)			Other Current Assets	\$ -	\$ -
1-5	Property Tax Receivable	\$ 94,927	\$ -			
1-6		\$ -	\$ -	<b>Total Current Assets</b>	\$ -	\$ -
1-7		\$ -	\$ -	Capital Assets, net (from Part 6-6)	\$ -	\$ -
1-8		\$ -	\$ -	Other Long Term Assets (specify...)	\$ -	\$ -
1-9		\$ -	\$ -		\$ -	\$ -
1-10		\$ -	\$ -		\$ -	\$ -
1-11	(Add lines 1-1 through 1-10) TOTAL ASSETS	\$ 272,522	\$ -	(Add lines 1-1 through 1-10) TOTAL ASSETS	\$ -	\$ -
1-12	TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ -	\$ -	TOTAL DEFERRED OUTFLOWS OF RESOURCES	\$ -	\$ -
1-13	TOTAL ASSETS AND DEFERRED OUTFLOWS	\$ 272,522	\$ -	TOTAL ASSETS AND DEFERRED OUTFLOWS	\$ -	\$ -
<b>Liabilities</b>				<b>Liabilities</b>		
1-14	Accounts Payable	\$ -	\$ -	Accounts Payable	\$ -	\$ -
1-15	Accrued Payroll and Related Liabilities	\$ 3,659	\$ -	Accrued Payroll and Related Liabilities	\$ -	\$ -
1-16	Accrued Interest Payable	\$ -	\$ -	Accrued Interest Payable	\$ -	\$ -
1-17	Due to Other Entities or Funds	\$ -	\$ -	Due to Other Entities or Funds	\$ -	\$ -
1-18	All Other Current Liabilities	\$ -	\$ -	All Other Current Liabilities	\$ -	\$ -
1-19	TOTAL CURRENT LIABILITIES	\$ 3,659	\$ -	TOTAL CURRENT LIABILITIES	\$ -	\$ -
1-20	All Other Liabilities (specify...)	\$ -	\$ -	Proprietary Debt Outstanding (from Part 4-4)	\$ -	\$ -
1-21		\$ -	\$ -	Other Liabilities (specify...)	\$ -	\$ -
1-22		\$ -	\$ -		\$ -	\$ -
1-23		\$ -	\$ -		\$ -	\$ -
1-24		\$ -	\$ -		\$ -	\$ -
1-25		\$ -	\$ -		\$ -	\$ -
1-26		\$ -	\$ -		\$ -	\$ -
1-27		\$ -	\$ -		\$ -	\$ -
1-28	(Add lines 1-14 through 1-27) TOTAL LIABILITIES	\$ 3,659	\$ -	(Add lines 1-19 through 1-27) TOTAL LIABILITIES	\$ -	\$ -
1-29	TOTAL DEFERRED INFLOWS OF RESOURCES	\$ 94,927	\$ -	TOTAL DEFERRED INFLOWS OF RESOURCES	\$ -	\$ -
<b>Fund Balance</b>				<b>Net Position</b>		
1-30	Nonspendable Prepaid	\$ -	\$ -	Net Investment in Capital Assets	\$ -	\$ -
1-31	Nonspendable Inventory	\$ -	\$ -			
1-32	Restricted (specify...)	\$ -	\$ -	Emergency Reserves	\$ -	\$ -
1-33	Committed (specify...)	\$ -	\$ -	Other Designations/Reserves	\$ -	\$ -
1-34	Assigned (specify...)	\$ -	\$ -	Restricted	\$ -	\$ -
1-35	Unassigned:	\$ 173,936	\$ -	Undesignated/Unreserved/Unrestricted	\$ -	\$ -
1-36	(Add lines 1-30 through 1-35) This total should be the same as line 3-33 TOTAL FUND BALANCE	\$ 173,936	\$ -	(Add lines 1-30 through 1-35) This total should be the same as line 3-33 TOTAL NET POSITION	\$ -	\$ -
1-37	(Add lines 1-28, 1-29 and 1-36) This total should be the same as line 1-13 TOTAL LIABILITIES, DEFERRED INFLOWS, AND FUND BALANCE	\$ 272,522	\$ -	(Add lines 1-28, 1-29 and 1-36) This total should be the same as line 1-13 TOTAL LIABILITIES, DEFERRED INFLOWS, AND NET POSITION	\$ -	\$ -

Please use this space to provide explanation of any items on this page

**PART 2 - FINANCIAL STATEMENTS - OPERATING STATEMENT - REVENUES**

Line #	Description	Governmental Funds		Description	Proprietary/ fiduciary Funds		Please use this space to provide explanation of any items on this page.
		Fund*	Fund*		Fund*	Fund*	
<b>Tax Revenue</b>				<b>Tax Revenue</b>			
2-1	Property (include mills levied in Question 10-6)	\$ 87,373	\$ -	Property (include mills levied in Question 10-6)	\$ -	\$ -	
2-2	Specific Ownership	\$ 2,071	\$ -	Specific Ownership	\$ -	\$ -	
2-3	Sales and Use Tax	\$ 545	\$ -	Sales and Use Tax	\$ -	\$ -	
2-4	Other Tax Revenue (specify...):	\$ -	\$ -	Other Tax Revenue (specify...):	\$ -	\$ -	
2-5	PID Revenue	\$ 100,000	\$ -		\$ -	\$ -	
2-6	VET Exemption		\$ -		\$ -	\$ -	
2-7	Other	\$ 21,059	\$ -		\$ -	\$ -	
2-8	Add lines 2-1 through 2-7 TOTAL TAX REVENUE	\$ 211,048	\$ -	Add lines 2-1 through 2-7 TOTAL TAX REVENUE	\$ -	\$ -	
2-9	Licenses and Permits	\$ -	\$ -	Licenses and Permits	\$ -	\$ -	
2-10	Highway Users Tax Funds (HUTF)	\$ -	\$ -	Highway Users Tax Funds (HUTF)	\$ -	\$ -	
2-11	Conservation Trust Funds (Lottery)	\$ -	\$ -	Conservation Trust Funds (Lottery)	\$ -	\$ -	
2-12	Community Development Block Grant	\$ -	\$ -	Community Development Block Grant	\$ -	\$ -	
2-13	Fire & Police Pension	\$ -	\$ -	Fire & Police Pension	\$ -	\$ -	
2-14	Grants	\$ -	\$ -	Grants	\$ -	\$ -	
2-15	Donations	\$ 1,818	\$ -	Donations	\$ -	\$ -	
2-16	Charges for Sales and Services	\$ 193,337	\$ -	Charges for Sales and Services	\$ -	\$ -	
2-17	Rental Income	\$ -	\$ -	Rental Income	\$ -	\$ -	
2-18	Fines and Forfeits	\$ -	\$ -	Fines and Forfeits	\$ -	\$ -	
2-19	Interest/Investment Income	\$ 3	\$ -	Interest/Investment Income	\$ -	\$ -	
2-20	Tap Fees	\$ -	\$ -	Tap Fees	\$ -	\$ -	
2-21	Proceeds from Sale of Capital Assets		\$ -	Proceeds from Sale of Capital Assets	\$ -	\$ -	
2-22	All Other (specify...):	\$ -	\$ -	All Other (specify...):	\$ -	\$ -	
2-23	Other	\$ 575	\$ -		\$ -	\$ -	
2-24	Add lines 2-8 through 2-23 TOTAL REVENUES	\$ 406,781	\$ -	Add lines 2-8 through 2-23 TOTAL REVENUES	\$ -	\$ -	
<b>Other Financing Sources</b>				<b>Other Financing Sources</b>			
2-25	Debt Proceeds	\$ -	\$ -	Debt Proceeds	\$ -	\$ -	
2-26	Developer Advances	\$ -	\$ -	Developer Advances	\$ -	\$ -	
2-27	Other (specify...):	\$ -	\$ -	Other (specify...):	\$ -	\$ -	
2-28	Add lines 2-25 through 2-27 TOTAL OTHER FINANCING SOURCES	\$ -	\$ -	Add lines 2-25 through 2-27 TOTAL OTHER FINANCING SOURCES	\$ -	\$ -	
2-29	Add lines 2-24 and 2-28 TOTAL REVENUES AND OTHER FINANCING SOURCES	\$ 406,781	\$ -	Add lines 2-24 and 2-28 TOTAL REVENUES AND OTHER FINANCING SOURCES	\$ -	\$ -	<b>GRAND TOTALS</b>
							\$ 406,781

IF GRAND TOTAL REVENUES AND OTHER FINANCING SOURCES for all funds (Line 2-29) are GREATER than \$750,000 - STOP. You may not use this form. An audit may be required. See Section 29-1-614, C.R.S., or contact the OSA Local Government Division at (303) 699-3000 for assistance.

**PART 3 - FINANCIAL STATEMENTS - OPERATING STATEMENT - EXPENDITURES/EXPENSES**

Line #	Description	Governmental Funds		Description	Proprietary/Fiduciary Funds		Please use this space to provide explanation of any items on this page
		Fund*	Fund*		Fund*	Fund*	
	<b>Expenditures</b>			<b>Expenses</b>			
3-1	General Government	\$ -	\$ -	General Operating & Administrative	\$ -	\$ -	
3-2	Judicial	\$ -	\$ -	Salaries	\$ -	\$ -	
3-3	Law Enforcement	\$ -	\$ -	Payroll Taxes	\$ -	\$ -	
3-4	Fire	\$ 632,368	\$ -	Contract Services	\$ -	\$ -	
3-5	Highways & Streets	\$ -	\$ -	Employee Benefits	\$ -	\$ -	
3-6	Solid Waste	\$ -	\$ -	Insurance	\$ -	\$ -	
3-7	Contributions to Fire & Police Pension Assoc.	\$ -	\$ -	Accounting and Legal Fees	\$ -	\$ -	
3-8	Health	\$ -	\$ -	Repair and Maintenance	\$ -	\$ -	
3-9	Culture and Recreation	\$ -	\$ -	Supplies	\$ -	\$ -	
3-10	Transfers to other districts	\$ -	\$ -	Utilities	\$ -	\$ -	
3-11	Other (specify...):	\$ -	\$ -	Contributions to Fire & Police Pension Assoc.	\$ -	\$ -	
3-12		\$ -	\$ -	Other (specify...)	\$ -	\$ -	
3-13		\$ -	\$ -		\$ -	\$ -	
3-14	Capital Outlay	\$ -	\$ -	Capital Outlay	\$ -	\$ -	
	Debt Service			Debt Service			
3-15	Principal	\$ -	\$ -	Principal	\$ -	\$ -	
3-16	Interest	\$ -	\$ -	Interest	\$ -	\$ -	
3-17	Bond Issuance Costs	\$ -	\$ -	Bond Issuance Costs	\$ -	\$ -	
3-18	Developer Principal Repayments	\$ -	\$ -	Developer Principal Repayments	\$ -	\$ -	
3-19	Developer Interest Repayments	\$ -	\$ -	Developer Interest Repayments	\$ -	\$ -	
3-20	All Other (specify...):	\$ -	\$ -	All Other (specify...):	\$ -	\$ -	
3-21		\$ -	\$ -		\$ -	\$ -	
3-22	Add lines 3-1 through 3-21 TOTAL EXPENDITURES	\$ 632,368	\$ -	Add lines 3-1 through 3-21 TOTAL EXPENSES	\$ -	\$ -	GRAND TOTAL \$ 632,368
3-23	Interfund Transfers (in)	\$ -	\$ -	Net Interfund Transfers (In) Out	\$ -	\$ -	3-32 - Prior Period Adjustments were due to Corrections to Balance Sheet.
3-24	Interfund Transfers out	\$ -	\$ -	Other (specify...)(enter negative for expense)	\$ -	\$ -	
3-25	Other Expenditures (Revenue):	\$ -	\$ -	Depreciation	\$ -	\$ -	
3-26		\$ -	\$ -	Other Financing Sources (Uses) (from line 3-28)	\$ -	\$ -	
3-27		\$ -	\$ -	Capital Outlay (from line 3-14)	\$ -	\$ -	
3-28		\$ -	\$ -	Debt Principal (from line 3-15, 3-18)	\$ -	\$ -	
3-29	Add lines 3-23 through 3-28 TOTAL TRANSFERS AND OTHER EXPENDITURES	\$ -	\$ -	(Line 3-26) plus line 3-27, less line 3-24, less line 3-25 TOTAL GAAP RECONCILING ITEMS	\$ -	\$ -	
3-30	Excess (Deficiency) of Revenues and Other Financing Sources Over (Under) Expenditures Line 2-29, less line 3-22, plus line 3-29	\$ (225,585)	\$ -	Net Increase (Decrease) in Net Position Line 2-29, less line 3-22, plus line 3-29, plus line 3-23, less line 3-24	\$ -	\$ -	
3-31	Fund Balance, January 1 from December 31 prior year report	\$ 119,765	\$ -	Net Position, January 1 from December 31 prior year report	\$ -	\$ -	
3-32	Prior Period Adjustment (MUST explain)	\$ 279,758	\$ -	Prior Period Adjustment (MUST explain)	\$ -	\$ -	
3-33	Fund Balance, December 31 Sum of Line 3-30, 3-31, and 3-32 This total should be the same as line 1-36.	\$ 173,938	\$ -	Net Position, December 31 Line 3-30 plus line 3-31 This total should be the same as line 1-36.	\$ -	\$ -	

IF GRAND TOTAL EXPENDITURES for all funds (Line 3-22) are GREATER than \$750,000 - STOP. You may not use this form. An audit may be required. See Section 29-1-601, C.R.S., or contact the OSA Local Government Division at (303) 869-3989 for assistance.

**PART 4 - DEBT OUTSTANDING, ISSUED AND RETIRED**

Please answer the following questions by marking the appropriate boxes.

YES NO

Please use this space to provide any explanations or comments:

- 4-1 Does the entity have outstanding debt?  YES  NO
- 4-2 Is the debt repayment schedule attached? If no, MUST explain:  YES  NO
- 4-3 Is the entity current in its debt service payments? If no, MUST explain:  YES  NO

4-4 Please complete the following debt schedule, if applicable: (please only include principal amounts)

	Outstanding at beginning of year*	Issued during year	Retired during year	Outstanding at year-end
General obligation bonds	\$ -	\$ -	\$ -	\$ -
Revenue bonds	\$ -	\$ -	\$ -	\$ -
Notes/Loans	\$ -	\$ -	\$ -	\$ -
Leases	\$ -	\$ -	\$ -	\$ -
Developer Advances	\$ -	\$ -	\$ -	\$ -
Other (specify):	\$ -	\$ -	\$ -	\$ -
<b>TOTAL</b>	\$ -	\$ -	\$ -	\$ -

\*must agree to prior year ending balance

Please answer the following questions by marking the appropriate boxes.

YES NO

- 4-5 Does the entity have any authorized, but unissued, debt?  YES  NO  
 If yes, How much? \$ -  
 Date the debt was authorized: \_\_\_\_\_
- 4-6 Does the entity intend to issue debt within the next calendar year?  YES  NO  
 If yes, How much? \$ -
- 4-7 Does the entity have debt that has been refinanced that it is still responsible for?  YES  NO  
 If yes, What is the amount outstanding? \$ -
- 4-8 Does the entity have any lease agreements?  YES  NO  
 If yes, What is being leased? \_\_\_\_\_  
 What is the original date of the lease? \_\_\_\_\_  
 Number of years of lease? \_\_\_\_\_  
 Is the lease subject to annual appropriation?  YES  NO  
 What are the annual lease payments? \$ -

**PART 5 - CASH AND INVESTMENTS**

Please provide the entity's cash deposits and investment balances.

AMOUNT TOTAL

Please use this space to provide any explanations or comments:

5-1 YEAR-END Total of ALL Checking and Savings accounts	\$ 177,595	
5-2 Certificates of deposit	\$ -	
<b>TOTAL CASH DEPOSITS</b>		\$ 177,595
Investments (if investment is a mutual fund, please list underlying investments):		
	\$ -	
	\$ -	
	\$ -	
	\$ -	
<b>TOTAL INVESTMENTS</b>		\$ -
<b>TOTAL CASH AND INVESTMENTS</b>		\$ 177,595

Please answer the following question by marking in the appropriate box.

YES NO N/A

- 5-4 Are the entity's investments legal in accordance with Section 24-75-601, et. seq., C.R.S.?  YES  NO  N/A
- 5-5 Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-10.5-101, et seq. C.R.S.)? If no, MUST explain:  YES  NO  N/A

**PART 6 - CAPITAL ASSETS**

Please answer the following question by marking in the appropriate box:

YES NO

Please use this space to provide any explanations or comments:

- 6-1 Does the entity have capitalized assets?  YES  NO
- 6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.? If no, MUST explain:  YES  NO

6-3 Complete the following Capital Assets table for GOVERNMENTAL FUNDS:

	Balance - beginning of the year*	Additions	Deletions	Year-End Balance
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ 2,619	\$ -	\$ -	\$ 2,619
Machinery and equipment	\$ 650,348	\$ -	\$ -	\$ 650,348
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (Enter a negative, or credit, balance)	\$ (454,041)	\$ (39,983)	\$ -	\$ (494,024)
<b>TOTAL:</b>	<b>\$ 198,926</b>	<b>\$ (39,983)</b>	<b>\$ -</b>	<b>\$ 158,943</b>

  

6-4 Complete the following Capital Assets table for PROPRIETARY FUNDS:

	Balance - beginning of the year*	Additions	Deletions	Year-End Balance
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (Enter a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
<b>TOTAL:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

\*must agree to prior year ending balance

**PART 7 - PENSION INFORMATION**

Please answer the following question by marking in the appropriate box:

YES NO

Please use this space to provide any explanations or comments:

- 7-1 Does the entity have an "old hire" firemen's pension plan?  YES  NO
- 7-2 Does the entity have a volunteer firemen's pension plan?  YES  NO

If yes, Who administers the plan?

Indicate the contributions from:

Tax (property, SO, sales, etc.):	\$ -
State contribution amount:	\$ -
Other (gifts, donations, etc.):	\$ -
<b>TOTAL:</b>	<b>\$ -</b>

What is the monthly benefit paid for 20 years of service per retiree as of Jan 1?

\$ -

**PART 8 - BUDGET INFORMATION**

Please answer the following question by marking in the appropriate box:

YES NO N/A

Please use this space to provide any explanations or comments:

- 8-1 Did the entity file a current year budget with the Department of Local Affairs, in accordance with Section 29-1-113 C.R.S.? If no, MUST explain:  YES  NO  N/A
- 8-2 Did the entity pass an appropriations resolution in accordance with Section 29-1-108 C.R.S.? If no, MUST explain:  YES  NO  N/A

If yes: Please indicate the amount budgeted for each fund for the year reported

Fund Name	Budgeted Expenditures/Expenses
General Fund	\$ 692,802
	\$ -
	\$ -
	\$ -

**PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)**

Please answer the following question by marking in the appropriate box:

YES NO

Please use this space to provide any explanations or comments:

- 9-1 Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]?  YES  NO
- Note: An election to exempt the government from the spending limitations of TABOR does not exempt the

**PART 10 - GENERAL INFORMATION**

Please answer the following question by marking in the appropriate box:

YES NO

Please use this space to provide any explanations or comments:

- 10-1 Is this application for a newly formed governmental entity?  YES  NO

If yes: Date of formation:

- 10-2 Has the entity changed its name in the past or current year?  YES  NO

If Yes: NEW name   
 PRIOR name

- 10-3 Is the entity a metropolitan district?  YES  NO

- 10-4 Please indicate what services the entity provides:

All Hazards Fire and emergency medical services

- 10-5 Does the entity have an agreement with another government to provide services?  YES  NO

If yes: List the name of the other governmental entity and the services provided:

- 10-6 Does the entity have a certified mill levy?  YES  NO

If yes: Please provide the number of mills levied for the year reported (do not enter \$ amounts):

Bond Redemption mills	0.000
General/Other mills	10.000
Total Mills	10.000

Please use this space to provide any additional explanations or comments not previously included.

OSA USE ONLY

Entity Wide:		General Fund		Governmental Funds		Notes
Unrestricted Cash & Investments	\$ 177,598	Unrestricted Fund Balance	\$ 173,936	Total Tax Revenue	\$ 211,048	
Current Liabilities	\$ 3,699	Total Fund Balance	\$ 173,936	Revenue Paying Debt Service	\$ -	
Deferred Inflow	\$ 94,927	PY Fund Balance	\$ 119,765	Total Revenue	\$ 408,781	
		Total Revenue	\$ 406,781	Total Debt Service Principal	\$ -	
		Total Expenditures	\$ 632,366	Total Debt Service Interest	\$ -	
		Interfund In	\$ -			
		Interfund Out	\$ -	Enterprise Funds		
		- Proprietary	\$ -	Net Position	\$ -	
		- Current Assets	\$ -	- FY Net Position	\$ -	
		Deferred Outflow	\$ -	- Government-Wide	\$ -	
		- Current Liabilities	\$ -	- Total Outstanding Debt	\$ -	
		Deferred Inflow	\$ -	- Authorized but Unissued	\$ -	
		- Cash & Investments	\$ -	- Year Authorized	\$ 10/1900	
		- Principal Expense	\$ -			
<b>Governmental</b>						
Total Cash & Investments	\$ 177,598					
Transfers In	\$ -					
Transfers Out	\$ -					
Property Tax	\$ 67,373					
Debt Service Principal	\$ -					
Total Expenditures	\$ 632,366					
Total Developer Advances	\$ -					
Total Developer Repayments	\$ -					

PART 12 - GOVERNING BODY APPROVAL

Please answer the following questions by marking in the appropriate box

YES NO

12-1 If you plan to submit this form electronically, have you read the new Electronic Signature Policy?

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedures

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or EchoSign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
  - a. Include a copy of an adopted resolution that documents formal approval by the Board, or
  - b. Include electronic signatures obtained through a software program such as DocuSign or EchoSign in accordance with the requirements noted above.

Below is the certification and approval of the governing body. By signing each individual member is certifying they are a duly elected or appointed officer of the local government. Governing members may be verified. Also by signing, the individual member certifies that this Application for Exemption from Audit has been prepared consistent with Section 23-1-604, C.R.S. which states that a governmental agency with revenue and expenditures of \$750,000 or less must have an application prepared by an independent accountant with knowledge of governmental accounting, completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

Print the names of ALL members of the governing body below

A MAJORITY of the members of the governing body must complete and sign in the column below

Full Name

DEAN RICKMAN

Full Name

VICTOR SCOTT PROSSER

Full Name

Lisa A. Redd

Full Name

Full Name

Full Name

Full Name

Full Name

I, DEAN RICKMAN attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed [Signature] Date: 12/10/2020  
My term Expires: \_\_\_\_\_

I, VICTOR SCOTT PROSSER attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed [Signature] Date: 12/10/2020  
My term Expires: \_\_\_\_\_

I, Lisa A. Redd attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed [Signature] Date: 12/10/2020  
My term Expires: \_\_\_\_\_

I, \_\_\_\_\_ attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed \_\_\_\_\_ Date: \_\_\_\_\_  
My term Expires: \_\_\_\_\_

I, \_\_\_\_\_ attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed \_\_\_\_\_ Date: \_\_\_\_\_  
My term Expires: \_\_\_\_\_

I, \_\_\_\_\_ attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit.  
Signed \_\_\_\_\_ Date: \_\_\_\_\_  
My term Expires: \_\_\_\_\_

### Certificate Of Completion

Envelope Id: 3255F7E79652497AABD968EBC9DD9BAD  
Subject: Please DocuSign: 2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf  
Source Envelope:  
Document Pages: 10 Signatures: 4  
Certificate Pages: 5 Initials: 0  
AutoNav: Enabled  
Envelope Stamping: Enabled  
Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Status: Completed

Envelope Originator:  
Client Coordinator  
605 25 RD STE 200  
GRAND JUNCTION, CO 81505  
cc@elliscpafirm.com  
IP Address: 69.146.237.250

### Record Tracking

Status: Original  
12/30/2020 9:16:45 AM

Holder: Client Coordinator  
cc@elliscpafirm.com

Location: DocuSign

### Signer Events

Dean Rickman  
drickman@gufd.org  
Chairman of the Board, GUFDD  
Security Level: Email, Account Authentication  
(None)

### Signature



Signature Adoption: Pre-selected Style  
Using IP Address: 72.249.227.115

### Timestamp

Sent: 12/30/2020 10:11:39 AM  
Viewed: 12/30/2020 1:40:19 PM  
Signed: 12/30/2020 1:40:48 PM

**Electronic Record and Signature Disclosure:**  
Accepted: 12/30/2020 1:40:19 PM  
ID: 81d73b40-4f8f-423b-93aa-27935f5a9a8d

Lisa Redd  
lredd@gufd.org  
Security Level: Email, Account Authentication  
(None)



Signature Adoption: Pre-selected Style  
Using IP Address: 72.249.227.105

Sent: 12/30/2020 10:11:40 AM  
Resent: 1/26/2021 11:18:45 AM  
Viewed: 1/27/2021 2:57:28 PM  
Signed: 1/27/2021 2:58:36 PM

**Electronic Record and Signature Disclosure:**  
Accepted: 1/27/2021 2:57:28 PM  
ID: 72c1a811-64ae-46ac-855b-9ef88e97bab6

Robert Ellis  
re@elliscpafirm.com  
CEO  
Security Level: Email, Account Authentication  
(None)



Signature Adoption: Pre-selected Style  
Using IP Address: 69.146.237.250

Sent: 12/30/2020 10:11:40 AM  
Viewed: 1/4/2021 8:44:11 AM  
Signed: 1/4/2021 8:52:43 AM

**Electronic Record and Signature Disclosure:**  
Accepted: 7/12/2016 9:50:12 AM  
ID: bc2d7225-2981-4297-bf3e-71a516912437

Victor Scott Prosser  
vprosser@gufd.org  
Security Level: Email, Account Authentication  
(None)



Signature Adoption: Drawn on Device  
Using IP Address: 72.249.227.87  
Signed using mobile

Sent: 12/30/2020 10:11:40 AM  
Resent: 1/26/2021 11:18:45 AM  
Viewed: 1/26/2021 11:39:37 AM  
Signed: 1/26/2021 11:41:13 AM

**Electronic Record and Signature Disclosure:**  
Accepted: 1/26/2021 11:39:37 AM  
ID: c01a9caa-d6cc-4c8a-847e-99d8404476f7

<b>In Person Signer Events</b>	<b>Signature</b>	<b>Timestamp</b>
<b>Editor Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Agent Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Intermediary Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Certified Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Carbon Copy Events</b> Client Coordinator cc@elliscpafirm.com Ellis CPA Firm PC Security Level: Email, Account Authentication (None) <b>Electronic Record and Signature Disclosure:</b> Not Offered via DocuSign	<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> <b>COPIED</b> </div>	<b>Timestamp</b> Sent: 12/30/2020 10:11:40 AM Resent: 1/27/2021 2:58:37 PM
Liz Petris lpetrus@gufd.org Security Level: Email, Account Authentication (None) <b>Electronic Record and Signature Disclosure:</b> Accepted: 12/3/2019 3:23:22 PM ID: ac9b8d1d-734d-4b8e-afb3-ba21a274996f	<div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 0 auto;"> <b>COPIED</b> </div>	Sent: 12/30/2020 10:11:39 AM Viewed: 1/27/2021 3:29:58 PM
<b>Witness Events</b>	<b>Signature</b>	<b>Timestamp</b>
<b>Notary Events</b>	<b>Signature</b>	<b>Timestamp</b>
<b>Envelope Summary Events</b> Envelope Sent Certified Delivered Signing Complete Completed	<b>Status</b> Hashed/Encrypted Security Checked Security Checked Security Checked	<b>Timestamps</b> 12/30/2020 10:11:41 AM 1/26/2021 11:39:37 AM 1/26/2021 11:41:13 AM 1/27/2021 2:58:36 PM
<b>Payment Events</b>	<b>Status</b>	<b>Timestamps</b>
<b>Electronic Record and Signature Disclosure</b>		

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- ii. send us an e-mail to [cc@elliscpafirm.com](mailto:cc@elliscpafirm.com) and in the body of such request you must state your e-mail, full name, US Postal Address, and telephone number. We do not need any other information from you to withdraw consent.. The consequences of your withdrawing consent for online documents will be that transactions may take a longer time to process..

**Required hardware and software**

Operating Systems:	Windows® 2000, Windows® XP, Windows Vista®, Mac OS® X
Browsers:	Final release versions of Internet Explorer® 6.0 or above (Windows only); Mozilla Firefox 2.0 or above (Windows and Mac); Safari™ 3.0 or above (Mac only)
PDF Reader:	Acrobat® or similar software may be required to view and print PDF files
Screen Resolution:	800 x 600 minimum
Enabled Security Settings:	Allow per session cookies

\*\* These minimum requirements are subject to change. If these requirements change, you will be asked to re-accept the disclosure. Pre-release (e.g. beta) versions of operating systems and browsers are not supported.

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**Subject:**  
Please DocuSign: 2019 APPLICATION FOR  
EXEMPTION OF AUDIT - FILED.pdf

**Document:**  
2019 APPLICATION FOR EXEMPTION OF  
AUDIT - FILED.pdf

**Document Id:**  
3255f7e7-9652-497a-abd9-68ebc9dd9bad

**Recipients:**  
Lisa Redd, Robert Ellis, Victor Scott Prosser,  
Dean Rickman, Liz Petris, Client Coordinator

**Date Sent:**  
12/30/2020 | 11:11:41 am

**Date Created:**  
12/30/2020 | 10:16:45 am

**Status Date:**  
1/27/2021 | 03:58:37 pm

**Location:**

**Holder:**  
Client Coordinator

**Time Zone:**

Page 1 of 8

Time	User	Action	Activity	Status
12/30/2020   10:16:45 am	Client Coordinator	Registered	The envelope was created by Client Coordinator	Created
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Liz Petris [lpetrus@gufd.org]	Sent
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Dean Rickman [drickman@gufd.org]	Sent
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Client Coordinator [cc@elliscpafirm.com]	Sent
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Lisa Redd [lredd@gufd.org]	Sent
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Victor Scott Prosser [sprosser@gufd.org]	Sent
12/30/2020   11:11:41 am	Client Coordinator	Sent Invitations	Client Coordinator sent an invitation to Robert Ellis [re@elliscpafirm.com]	Sent
12/30/2020   11:13:32 am	Client Coordinator	Correction Initiated	Client Coordinator initiated correction	Correct
12/30/2020   11:14:17 am	Client Coordinator	Correction Cancelled	Client Coordinator cancelled correction	Sent
12/30/2020   02:40:08 pm	Dean Rickman	Opened	Dean Rickman opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent

Language	IP	Source
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
English (us)	69.146.237.250	api
En	72.249.227.115	web

Time	User	Action	Activity	Status
12/30/2020   02:40:20 pm	Dean Rickman	Viewed	Dean Rickman viewed the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
12/30/2020   02:40:48 pm	Dean Rickman	Signed	Dean Rickman signed the envelope	Sent
1/4/2021   09:43:53 am	Robert Ellis	Opened	Robert Ellis opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/4/2021   09:44:12 am	Robert Ellis	Viewed	Robert Ellis viewed the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/4/2021   09:52:43 am	Robert Ellis	Signed	Robert Ellis signed the envelope	Sent
1/11/2021   09:21:08 am	Email URL	Resent Expired Link	New link requested for email sent to lredd@gufd.org	Sent
1/26/2021   12:18:45 pm	Client Coordinator	Resent	Client Coordinator resent the envelope to Lisa Redd [lredd@gufd.org]	Sent
1/26/2021   12:18:46 pm	Client Coordinator	Resent	Client Coordinator resent the envelope to Victor Scott Prosser [sprosser@gufd.org]	Sent
1/26/2021   12:37:16 pm	Victor Scott Prosser	Opened	Victor Scott Prosser opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/26/2021   12:37:50 pm	Victor Scott Prosser	Opened	Victor Scott Prosser opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent

Language	IP	Source
En	72.249.227.115	web
En	72.249.227.115	web
En	69.146.237.250	web
En	69.146.237.250	web
En	69.146.237.250	web
English (us)	69.146.174.114	web
English (us)	69.146.237.250	web
English (us)	69.146.237.250	web
En	72.249.227.87	web
En	72.249.227.87	web

Time	User	Action	Activity	Status
1/26/2021   12:38:54 pm	Email URL	Resent Expired Link	New link requested for email sent to sprosser@gufd.org	Sent
1/26/2021   12:39:34 pm	Victor Scott Prosser	Opened	Victor Scott Prosser opened the envelope [documents: (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/26/2021   12:39:37 pm	Victor Scott Prosser	Viewed	Victor Scott Prosser viewed the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/26/2021   12:41:13 pm	Victor Scott Prosser	Signed	Victor Scott Prosser signed the envelope on mobile	Sent
1/27/2021   10:38:37 am	Lisa Redd	Opened	Lisa Redd opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/27/2021   10:39:04 am	Lisa Redd	Opened	Lisa Redd opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/27/2021   03:57:19 pm	Lisa Redd	Opened	Lisa Redd opened the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Sent
1/27/2021   03:57:28 pm	Lisa Redd	Viewed	Lisa Redd viewed the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Delivered
1/27/2021   03:58:36 pm	Lisa Redd	Signed	Lisa Redd signed the envelope	Completed
1/27/2021   03:58:37 pm	Client Coordinator	Printable Copy Attached to Email	Client Coordinator was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed

Language	IP	Source
English (us)	72.249.227.87	web
En	72.249.227.87	web
En	72.249.227.87	web
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En	72.249.227.105	web
English	72.249.227.105	web

Time	User	Action	Activity	Status
1/27/2021   03:58:37 pm	Dean Rickman	Printable Copy Attached to Email	Dean Rickman was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed
1/27/2021   03:58:38 pm	Lisa Redd	Printable Copy Attached to Email	Lisa Redd was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed
1/27/2021   03:58:38 pm	Liz Petris	Printable Copy Attached to Email	Liz Petris was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed
1/27/2021   03:58:38 pm	Robert Ellis	Printable Copy Attached to Email	Robert Ellis was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed
1/27/2021   03:58:39 pm	Victor Scott Prosser	Printable Copy Attached to Email	Victor Scott Prosser was sent the document (2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf) attached to the completed email	Completed
1/27/2021   04:29:58 pm	Liz Petris	Viewed	Liz Petris viewed the envelope [documents:(2019 APPLICATION FOR EXEMPTION OF AUDIT - FILED.pdf)]	Completed
1/27/2021   04:33:13 pm	Client Coordinator	Printable Copy Delivered	Client Coordinator received a printable copy of the envelope	Completed

Language	IP	Source
English	72.249.227.105	web
English	72.249.227.105	web
English	72.249.227.105	web
English	72.249.227.105	web
English	72.249.227.105	web
En	209.203.154.133	web
English (us)	69.146.237.250	api